Formalizing mathematics, in practice

Workshop in Honour of Thierry Coquand's 60th Birthday

Assia Mahboubi August 26th 2022

Inria, LS2N, Université de Nantes, Vrije Universiteit Amsterdam



- Mathematics, Algorithms and Proofs (MAP) community
- TYPES Summer School, Göteborg 2005
- FORMATH European project 2010-2013
- Univalent Foundations of Mathematics IAS Princeton 2012-2013

• ...

International Congress of Mathematicians 2022



Welcome to the 2022 Virtual ICM!

I would like to warmly welcome all participants in this virtual ICM. Organizing this event in a short timeframe, with limited human resources, has been a very challenging task. I sincerely hope that this effort proves to be successful and that all of you can, as a result, enjoy learning about the latex developments in mathematics.

Carlos E. Kenig, IMU president

Cool Moning and x-way warm Welcome to the CM2022 Please this bit how were well tably is program. Cick an ,where session" to join your selected theam. All sessions are displayed in CBT. ADDirect draw how how here not give tables the VCM technics in they are bring grain. We encourage all speakers to join this server to interest with the address to its and technicar way. For them the <u>the Conceptore of SPU/Monitor</u>

Program



Constructive Mathematics

The transitive closure R^+ of R is the smallest transitive relation containing R.

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Formalized as:

 In Coq's (or Agda's) standard library, for an arbitrary type A:

```
Inductive Permutation : list A -> list A -> Prop :=
| perm_nil: Permutation [] []
| perm_skip x 11 12 : Permutation 11 12 -> Permutation (x :: 11) (x :: 12)
| perm_swap x y 1 : Permutation (y :: x:: 1) (x :: y :: 1)
| perm_trans 1 1' 1'' : Permutation 11 12 -> Permutation 12 13 -> Permutation 11 13.
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In Mathematical Components, for a type A with decidable equality:

(* _ == _ : nat -> nat -> bool *)
Definition perm_eq (l1 l2 : list A) : bool :=
 all [pred x | count_mem x l1 == count_mem x l2] (l1 ++ l2).

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```
Lemma perm_cat2l 11 12 13 : perm_eq (l1 ++ 12) (l1 ++ 13) = perm_eq l2 l3.
Proof.
apply/permP/permP=> eq23 a; apply/eqP;
by move/(_ a)/eqP: eq23; rewrite !count_cat eqn_add2l.
Qed.
```

A library for constructive algebra and analysis, started circa 2000.

$\leftarrow \rightarrow C$	O & https://corn.cs.runi	☆
Importer les marque-pages	Ne Débuter avec Firefox 🖗 Reviews and Commen	
	Coq Repository at Nijmegen	
The CoRN library has very	roughly been developed in the following stages, chronologically:	
Fundamental Theorem Fundamental Theorem Program extraction fo Abstruct model of the Efficient computation Remain integration (Interface with Cog's s ForMain project (Spit) Fast computation Bevelopment of a	of Algebra and the algebraic hierarchy. (Gouvers, Politick, Wodff, and Zeanenburg) of Acalauk, sclows) blowing the Bishop Andrego Kong Councertuit Analysis. (PhD: Cruz-Filipe, advisor: Genvers) real numbers (PhD: Nigel, advisor: Genvers) with real numbers and matrice space (PhD) Councer advisor: Spitters) andard: Hivary reals (Lakiursky, O Councer), andard: Hivary reals (Lakiursky, O Councer), andard: Algebrary reals (Lakiursky, O Councer), Brandschause, Ilbrary stating type classes, simple ODE-solver,	
See the publications sectio	n for a longer description. [Publications] [Sources]	

- Every non-constant single-variable polynomial with complex coefficients has at least one complex root. (1806)
- The integral of a function provides one of its antiderivatives. (circa 1700)

• ...

Structures as dependent pairs:

 $(T, p_T) : \Sigma(x : Type)S x$

Or rather, tuples:

Record invType := {sort : Type; inv : sort -> sort; idem : involution inv}

[Telescopic mappings in typed lambda calculus, N. G. de Bruijn (1974, 1991), link] [Dependently typed records for representing mathematical structure, R. Pollack (2000) link] Quotients as constructive setoids:

```
Record CSetoid : Type := {
cs_crr : Type;
cs_eq : relation cs_crr; (* equality x ~ y *)
cs_ap : relation cs_crr; (* apartness x # y *)
cs_proof : is_CSetoid cs_crr cs_eq cs_ap} (* constructive setoid axioms *)
```

Constructive setoid axioms, about apartness:

- irreflexivity: $\neg(x \not\equiv x)$
- symmetry: $(x \ddagger y) \Rightarrow (y \ddagger x)$
- co-transitivity: $(x \ddagger y) \Rightarrow (x \ddagger z) \lor (z \ddagger y)$
- tightness: $\neg(x \ddagger y) \Leftrightarrow (x \sim y)$

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- tightness: $\neg(x \ddagger y) \Leftrightarrow (x \sim y)$?

"However ... we wanted the notion of constructive setoid to be a refinement of the notion of setoid."

[A Constructive Algebraic Hierarchy in Coq, H. Geuvers, R. Pollack, F. Wiedijk, J. Zwanenburg, JSC (2002), link]

Coercion (explicit subtyping) based inheritance:

Record CRing : Type :=
{ cr_crr :> CGroup;
 cr_one : cr_crr;
 cr_zero : cr_crr;
 cr_mult: CSetoid_bin_opp cr_crr;
 cr_proof : is_CRing cr_crr cr_one cr_mult}

where cr_crr : CRing -> CGroup is a coercion.

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Later improved by a type class based hierarchy (MathClasses).

[Type Classes for Mathematics in Type Theory, B. Spitters, E. van der Weegen, MSCS (2011), link] [Type classes for efficient exact real arithmetic in Coq, R. Krebbers, B. Spitters, LMCS (2013), link] • Computable real numbers à la Bishop - Bridges

[A monadic, functional implementation of real numbers, R. O'Connor, 2007, MSCS, link]

• Connection with Coq's standard library for classical reals

[A monadic, functional implementation of real numbers, C. Kaliszyk, R. O'Connor, 2009, JFR. link]

Speed up using machine integers, expanded and better structured

[Type classes for efficient exact real arithmetic in Coq, R. Krebbers, B. Spitters, 2013, LMCS, link]

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Lemma ground_ineq : 0.41078129 < sin E. Proof. <immediate>. Qed.

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Lemma ground_ineq : 0.41078129 < sin E. Proof. <immediate>. Qed.

Computed 25 decimals of sine(e) in 0.1s, 500 decimals in 1.9s.

Computational Mathematics

2006: Verified four color theorem

- First (computer-aided) proof: W. Appel and K. Haken, 1976
- Formally verified proof: G. Gonthier, with B. Werner, 2006
- Uses (optimized) computation inside logic

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- Formally verified proof: G. Gonthier, with B. Werner, 2006
- Uses (optimized) computation inside logic

```
Variable (m : map R).
Theorem four_color_finite : finite_simple_map m -> colorable_with 4 m.
Proof.
intros fin_m.
pose proof (discretize.discretize_to_hypermap fin_m) as [G planarG colG].
exact (colG (combinatorial4ct.four_color_hypermap planarG)).
Qed.
Theorem four_color : simple_map m -> colorable_with 4 m.
```

Proof. exact (finitize.compactness_extension four_color_finite). Qed.

[Formal Proof—The Four-Color Theorem, G. Gonthier (2008) link]

- Verification of the non-trivial computational part of the proof
- Formalization of a corpus of modern combinatorics
- Formal proof engineering methodology
- Novel/rediscovered mathematics

Handbooks

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This project is supported by grants from the US National Science Foundation, the UK Engineering and Physical Sciences Research Council, and the Simons Foundation. Contact - Citation - Acknowledgments - Editorial Board - Source - SageMath version 9.2 - LMFDB Release 1.2.1

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Handbooks

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Fact

Today, no explicit policy exist for auditing software that produce proof steps.

Cross-verification is not enough

In SymPy 1.5.1 ¹, compare

1	>>> simplify(hyper([n],[m],x).subs({m:-1, n:-1, x:1}))	
2		2

with

1	>>> simplify(hyper([n],[m],x).subs(m, n)).subs($\{n:-1, x:1\}$)	
2		E

¹Example suggested by F. Johansson.

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 \Rightarrow Post-hoc verification techniques cannot apply.

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2 2

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 \Rightarrow Post-hoc verification techniques cannot apply.

Wolfram Language (Mathematica) exhibit the exact same phenomenon.

 \Rightarrow Cross-verification is not enough.

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Ternary Goldbach conjecture is true (H. Helfgott, 2013)

Every odd integer greater than 5 is the sum of three primes.

Formally verified rigorous computations

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MAJOR ARCS FOR GOLDBACH'S PROBLEM

35

By Cauchy-Schwarz, this is at most

$$\sqrt{\frac{1}{2\pi}\int_{-\frac{1}{2}-i\infty}^{-\frac{1}{2}+i\infty}\left|\frac{L'(s,\chi)}{L(s,\chi)}\cdot\frac{1}{s}\right|^2|ds|}\cdot\sqrt{\frac{1}{2\pi}\int_{-\frac{1}{2}-i\infty}^{-\frac{1}{2}+i\infty}|G_{\delta}(s)s|^2|ds|}$$

By (4.12),

$$\begin{split} \sqrt{\int_{-\frac{1}{2} - i\infty}^{-\frac{1}{2} + i\infty} \left| \frac{L'(s,\chi)}{L(s,\chi)} \cdot \frac{1}{s} \right|^2 |ds|} &\leq \sqrt{\int_{-\frac{1}{2} - i\infty}^{-\frac{1}{2} + i\infty} \left| \frac{\log q}{s} \right|^2 |ds|} \\ &+ \sqrt{\int_{-\infty}^{\infty} \frac{|\frac{1}{2} \log \left(\tau^2 + \frac{q}{4}\right) + 4.1396 + \log \pi \right|^2}{\frac{1}{4} + \tau^2}} d\tau \\ &\leq \sqrt{2\pi \log q} + \sqrt{226.844}, \end{split}$$

where we compute the last integral numerically

⁴By a rigorous integration from $\tau = -100000$ to $\tau = 100000$ using VNODE-LP <u>[Ned06]</u>, which runs on the PROFIL/BIAS interval arithmetic package <u>[Knü99]</u>.

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• This estimation is wrong (although the proof can be repaired).

[Formally Verified Approximations of Definite Integrals - A. Mahboubi, G. Melquiond, Th. Sibut-Pinote, JAR 2018]

Described by an abstract syntax:

$$\begin{array}{rcl} \mathcal{E} & := & x \mid \mathbb{F} \mid \pi \mid \\ & & \mathcal{E} + \mathcal{E} \mid \mathcal{E} - \mathcal{E} \mid \mathcal{E} \times \mathcal{E} \mid \mathcal{E} \div \mathcal{E} \mid - \mathcal{E} \mid \|\mathcal{E}\| \mid \\ & & \sqrt{\mathcal{E}} \mid \mathcal{E}^k \mid \\ & & \cos(\mathcal{E}) \mid \sin(\mathcal{E}) \mid \tan(\mathcal{E}) \mid \tan(\mathcal{E}) \mid \\ & & \exp(\mathcal{E}) \mid \ln(\mathcal{E}) \end{array}$$

The library implements interval extensions for each elementary function:

•
$$[e]_{\mathbb{R}_{\perp}}$$
 : $\mathbb{R}_{\perp} o \mathbb{R}_{\perp}$

• $[e]_{\mathbb{I}_{\perp}}$: $\mathbb{I}_{\perp} \to \mathbb{I}_{\perp}$

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Example:

$$\forall i \in \mathbb{I}_{\perp}, \forall x \in i, \quad \pi + cos(x) \in \pi + cos(i)$$

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Example:

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Correctness theorem of interval extensions:

$$\forall e \in \mathcal{E}, \forall i \in \mathbb{I}_{\perp}, \forall x \in i, \quad [e]_{\mathbb{R}_{\perp}}(x) \in [e]_{\mathbb{I}_{\perp}}(i)$$
Initial problem:

$$\int_a^b f(x) dx \in [m, M] \quad ?$$

Entry in the Catalog:

$$\int_{[e_a]_{\mathbb{R}}}^{[e_b]_{\mathbb{R}}} [e_f]_{\mathbb{R}} dx \in [m, M] \quad ?$$

Verified computation:

$$\int_{[e_a]_{\mathbb{R}}}^{[e_b]_{\mathbb{R}}} [e_f]_{\mathbb{R}} dx$$

Verified computation:

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Verified computation:

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[Formally Verified Approximations of Definite Integrals, A. Mahboubi, G. Melquiond, Th. Sibut-Pinote, JAR 2018]

Verified computation, using rigorous polynomial approximations:

$$\int_{[e_a]_{\mathbb{R}}}^{[e_b]_{\mathbb{R}}} [e_f]_{\mathbb{R}} dx \in \int_{[e_a]_{\mathbb{TM}}}^{[e_b]_{\mathbb{TM}}} [e_f]_{\mathbb{TM}} dx \subseteq [m, M]$$

[Formally Verified Approximations of Definite Integrals, A. Mahboubi, G. Melquiond, Th. Sibut-Pinote, JAR 2018]

Formally verified rigorous computations

$$\int_{0}^{1} |(x^{4} + 10x^{3} + 19x^{2} - 6x - 6e^{x}| dx \simeq 11.14731055005714$$

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- Octave's quad/quadgk: only 10/9 correct digits;
- INTLAB verifyquad: false answer without warning;
- VNODE-LP: cannot be used because of the absolute value.

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- INTLAB verifyquad: false answer without warning;
- VNODE-LP: cannot be used because of the absolute value.

INTLAB bug report (2016) \Rightarrow Removal of the support for the absolute value

Formally verified rigorous computations

```
53 arb_sqrt(arb_t z, const arb_t x, slong prec)
54 {
55
        mag t rx, zr;
56
        int inexact;
57
58
        if (mag is zero(arb radref(x)))
59
60
            arb_sqrt_arf(z, arb_midref(x), prec);
61
62
        else if (arf is special(arb midref(x)) ||
                  arf_sgn(arb_midref(x)) < \theta || mag_is_inf(arb_radref(x)))
64
65
            if (arf is pos inf(arb midref(x)) && mag is finite(arb radref(x)))
                arb_sqrt_arf(z, arb_midref(x), prec);
67
            else
68
                arb indeterminate(z);
        else /* now both mid and rad are non-special values, mid > 0 */
            slong acc;
74
            acc = _fmpz_sub_small(ARF_EXPREF(arb_midref(x)), MAG_EXPREF(arb_radref(x)));
            acc = FLINT MIN(acc, prec);
            prec = FLINT_MIN(prec, acc + MAG_BITS);
            prec = FLINT_MAX(prec, 2);
            if (acc < \Theta)
80
81
                arb_indeterminate(z);
            else if (acc <= 20)
84
                mag t t, u;
87
                mag_init(t);
88
                mag init(u):
89
                arb_get_mag_lower(t, x);
91
92
                if (mag is zero(t) && arb contains negative(x))
```



Plotting $exp(-x^2)$ with sagemath



Plotting $exp(-x^2)$ with sagemath



Plotting sin(x) for $x \in [0, 3141]$

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Plotting sin(x) for $x \in [0, 3141]$





Sagemath

Issues:

- Sampling
- Accuracy
- Bugs

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Desired properties:

- Correctness: blank pixels are not traversed by the function graph
- Completeness: filled pixels are traversed by the function graph

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Desired properties:

- Correctness: blank pixels are not traversed by the function graph
- Completeness: filled pixels are traversed by the function graph

 \Rightarrow Formally verified plots: guarantee correctness and strive for completeness

To obtain a verified plot for f(x) for $x \in X$:

- Partition X in $(X_i)_{i=1...n}$
- Produce a list $(\ell_i)_{i=1...n}$ of intervals
- Ensure (with a formal proof) that for every $i = 1 \dots n$:

$$\forall x \in X_i, f(x) \in \ell_i$$

• Fill the corresponding pixels.

Rigorous polynomial approximation make computations efficient enough.

[Plotting in a formally verified way, G. Melquiond, F-IDE 2021]

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😳 State 🕐 Context 🎮 Goal 🛣 Retract ┥ Undo 🕨 Next 💆 Use	► Goto	∰ Qed	🖀 Home	∠ ○Find	🚯 Info	🖝 Command 🛛 👌 Proo	ftree 😄 Interrupt
Require Import Reals Lra. From Coquelicot Require Import Coquelicot. Require Import Interval.Tactic Interval.Plot. Open Scope R_scope.							
Definition plot1 := ltac:(plot (fun x => exp (-x * x)) (-10000)	10000).						
Definition plot2 := ltac:(plot sin 0 3141).		1					
Plot plot1.		I .					
Plot plot2.		I .					
		I .					
		12.00	*noals:		A11 L1	(Con Coals)	
			90005		1111 11	(00000)	
		I .					
		1					
(* Bonus							I
-: demo.v Top L32 (Coq Script(0-) Holes)		U:%%	*respo	nse*	All L1	(Coq Response)	





Contemporary Mathematics

2013: Odd order theorem formally verified

Theorem (W. Feit - J. G. Thompson, 1963)

Every finite group of odd order is solvable.



[A formal proof of the Odd Order theorem, Gonthier et al., Proc. of ITP 2013]

Problems:

- Maintenance
- Readability of mathematical statements and proofs scripts
- Performance issues on the interactive prover side
- (Keep the proof constructive)

• Inference:

$$det(A) = \sum_{\sigma \in S_n} (-1)^{\epsilon_\sigma} \prod_{i=1}^n a_{i\sigma(i)}$$

• Linguistics

 $\label{eq:theorem} \begin{array}{l} \mbox{Theorem third_isog (G H K : {group gT}) : H \subset K -> H <| G -> K <| G -> (G / H) / (K / H) \subset (G / K). \end{array}$

 $(G/H)/(K/H) \sim (G/K)$ when $H \subset K, H \triangleleft G, K \triangleleft G$

- types with decidable equality and choice operator
- h-sets and Hedberg theorem
- type classes / unification hints hierarchies
- conversion / small scale reflexion
- enhanced support for forward chaining in the tactic language
- rewrite the mathematics

Lean is an interactive prover based on the Calculus of Inductive Constructions.

• 2017: Start of mathlib, today Lean's de facto the standard library

[The Lean Mathematical Library, The mathlib Community, Proc of CPP'2020]

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- 2019: Definition of perfectoid spaces in Lean

[Formalizing perfectoid spaces - K. Buzzard, J. Commelin, P. Massot, Proc. of CPP'2020]

Today: Mathematics in the making

```
-- We fix a prime number p
parameter (p : primes)
```

```
/-- A perfectoid ring is a Huber ring that is complete, uniform,
that has a pseudo-uniformizer whose p-th power divides p in the power bounded subring,
and such that Frobenius is a surjection on the reduction modulo p.-/
structure perfectoid ring (R : Type) [Huber ring R] extends Tate ring R : Prop :=
(complete : is_complete_hausdorff R)
(uniform : is uniform R)
(ramified : ∃ □ : pseudo_uniformizer R, □^p | p in R<sup>o</sup>)
(Frobenius : surjective (Frob Rº/p))
CLVRS ("complete locally valued ringed space") is a category
whose objects are topological spaces with a sheaf of complete topological rings
and an equivalence class of valuation on each stalk, whose support is the unique
maximal ideal of the stalk; in Wedhorn's notes this category is called V.
A perfectoid space is an object of CLVRS which is locally isomorphic to Spa(A) with
A a perfectoid ring. Note however that CLVRS is a full subcategory of the category
'PreValuedRingedSpace' of topological spaces equipped with a presheaf of topological
rings and a valuation on each stalk, so the isomorphism can be checked in
PreValuedRingedSpace instead, which is what we do.
/-- Condition for an object of CLVRS to be perfectoid: every point should have an open
neighbourhood isomorphic to Spa(A) for some perfectoid ring A.-/
def is perfectoid (X : CLVRS) : Prop :=
∀ x : X, ∃ (U : opens X) (A : Huber pair) [perfectoid ring A].
 (x ∈ U) ∧ (Spa A ≅ U)
/-- The category of perfectoid spaces.-/
```

def PerfectoidSpace := {X : CLVRS // is_perfectoid X}

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• 2022: Liquid Tensor Experiment: J. Commelin et al.

[Half a year of the Liquid Tensor Experiment: Amazing developments, P. Scholze on the Xena blog, 2021]

Quoting P. Schölze about the Liquid Tensor experiment:

"(...) This makes the rest of the proof of the Liquid Tensor Experiment considerably more explicit and more elementary, removing any use of stable homotopy theory. I expect that Commelin's complex may become a standard tool in the coming years."

"(...) this made me realize that actually the key thing happening is a reduction from a non-convex problem over the reals to a convex problem over the integers."

• Publications
- Publications
- Teaching

- Publications
- Teaching
- Collaborations

- Publications
- Teaching
- Collaborations
- Creativity

- Novel community of users of CIC, with different motivations
- Many exciting projects (e.g., P. Massot's project about sphere eversion)
- Impact on the implementation of interactive provers
- But difficult non-technological questions remain

modularity, hierarchies, isomorphisms,...

Can we make symbolic computation fast and correct?

Joint work in progress with G. Melquiond et al.



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